

031504

16569 U.S. PTO

**UTILITY
PATENT APPLICATION
TRANSMITTAL**
(Only for new nonprovisional applications
under 37 CFR 1.53(b))

| | |
|------------------------|-------------------------------------------------------------------------------------------------|
| Attorney Docket No. | 890050.469 |
| First Inventor | Takuya Tsukagoshi |
| Title | METHOD FOR RECORDING AND REPRODUCING HOLOGRAPHIC DATA AND HOLOGRAPHIC RECORDING MEDIUM |
| Express Mail Label No. | EV336655604US |

22857 U.S. PTO
10/800607

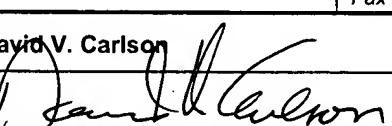
031504

| APPLICATION ELEMENTS | | ADDRESS TO: |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------|
| See MPEP chapter 600 concerning utility patent application contents. | | |
| 1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing) | 7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) | |
| 2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. | 8. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) | |
| 3. <input checked="" type="checkbox"/> Specification [Total Pages 28] (preferred arrangement set forth below) - Descriptive title of the Invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure | a. <input type="checkbox"/> Computer Readable Form (CRF) b. <input type="checkbox"/> Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> Paper c. <input type="checkbox"/> Statements verifying identity of above copies | |
| 4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 6] | 9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s)) | |
| 5. Oath or Declaration [Total Sheets 3] a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63 (d)) (for a continuation/divisional with Box 18 completed) i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). | 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input checked="" type="checkbox"/> Power of (when there is an assignee) Attorney | |
| 6. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76 | 11. <input type="checkbox"/> English Translation Document (if applicable) | |
| 18. If a CONTINUING APPLICATION OR APPLICATION CLAIMING FOREIGN PRIORITY, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) <input checked="" type="checkbox"/> Claims priority from Japanese application No. 2003-072610 filed 03/17/03 | 12. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input checked="" type="checkbox"/> Copies of IDS Citations | |
| | 13. <input type="checkbox"/> Preliminary Amendment | |
| | 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized) | |
| | 15. <input checked="" type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed) | |
| | 16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. | |
| | 17. <input checked="" type="checkbox"/> Other: <u>Check</u> | |

18. If a CONTINUING APPLICATION OR APPLICATION CLAIMING FOREIGN PRIORITY, check appropriate box, and supply the requisite
information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:
 Continuation Divisional Continuation-in-part (CIP) Claims priority from Japanese application
No. 2003-072610 filed 03/17/03

Prior application information Examiner _____ Group Art Unit: _____
For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or
declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or
divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a
portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

| | | | |
|-------------------------------------------------------|-------------------------------------------------------------------------------------|--------------------------------------|------------------------------|
| <input type="checkbox"/> Correspondence address below | | or | Customer Number 00500 |
| Firm Name | | | |
| Address | | | |
| City, State, Zip | | | |
| Country | | | |
| Telephone | Fax | | |
| Name (Print/Type) | David V. Carlson | Registration No. (Attorney/Agent) | 31,153 |
| Signature |  | Date | March 15, 2004 |

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

464359_1.DOC

FEE TRANSMITTAL for FY 2004

Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT (\$)
896

| Complete if Known | |
|----------------------|--------------------------|
| Application Number | |
| Filing Date | March 15, 2004 |
| First Named Inventor | Takuya Tsukagoshi |
| Examiner Name | |
| Art Unit | |
| Attorney Docket No. | 890050.469 |

| METHOD OF PAYMENT | | | |
|-------------------------------------------------------|-------------------------------------------|--------------------------------------------------------------------------|--------------------------------------|
| <input checked="" type="checkbox"/> Payment Enclosed: | <input checked="" type="checkbox"/> Check | <input type="checkbox"/> Credit card | <input type="checkbox"/> Money Order |
| <input type="checkbox"/> Deposit Account: | Deposit Account Number 19-1090 | Deposit Account Name Seed Intellectual Property Law Group PLLC | |

The Director is authorized to (check all that apply)

Charge fee(s) indicated below Credit any overpayments
 Charge any additional fee(s) during the pendency of this application
 Charge fee(s) indicated below, except for the filing fee
 Charge any deficiencies

to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity | Small Entity | Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description | Fee Paid |
|--------------|--------------|----------|----------|----------|----------|------------------------|-----------------|
| 1001 | 770 | 2001 | 385 | | | Utility filing fee | 770 |
| 1002 | 340 | 2002 | 170 | | | Design filing fee | |
| 1003 | 530 | 2003 | 265 | | | Plant filing fee | |
| 1004 | 770 | 2004 | 385 | | | Reissue filing fee | |
| 1005 | 160 | 2005 | 80 | | | Provisional filing fee | |
| SUBTOTAL (1) | | | | | | | (\$ 770) |

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

| | | Extra Claims | Fee from below | Fee Paid |
|--------------------|----------|--------------|----------------|-------------------------|
| Total Claims | 7 | -20** = | 0 | * 18 = 0 |
| Independent Claims | 4 | -3** = | 1 | * 86 = 86 |
| Multiple Dependent | | | | |

| Large Entity | Small Entity | Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description |
|--------------|--------------|----------|----------|----------|----------|------------------------------------------------------------|
| 1202 | 18 | 2202 | 9 | | | Claims in excess of 20 |
| 1201 | 86 | 2201 | 43 | | | Independent claims in excess of 3 |
| 1203 | 290 | 2203 | 145 | | | Multiple dependent claim, if not paid |
| 1204 | 86 | 2204 | 43 | | | ** Reissue independent claims over original patent |
| 1205 | 18 | 2205 | 9 | | | ** Reissue claims in excess of 20 and over original patent |
| SUBTOTAL (2) | | | | | | (\$ 86) |

**or number previously paid, if greater; For Reissues, see above

| FEE CALCULATION (continued) | | | | | | | |
|-----------------------------------|--------------|----------|----------|----------|----------|----------------------------------------------------------------------------|----------------|
| 3. ADDITIONAL FEES | Small | | | | | | |
| Large Entity | Small | Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description | Fee Paid |
| 1051 | 130 | 2051 | 65 | | | Surcharge - late filing fee or oath | |
| 1052 | 50 | 2052 | 25 | | | Surcharge - late provisional filing fee or cover sheet | |
| 1053 | 130 | 1053 | 130 | | | Non-English specification | |
| 1812 | 2520 | 1812 | 2520 | | | For filing a request for <i>ex parte</i> reexamination | |
| 1804 | 920* | 1804 | 920* | | | Requesting publication of SIR prior to Examiner action | |
| 1805 | 1840* | 1805 | 1840* | | | Requesting publication of SIR after Examiner action | |
| 1251 | 110 | 2251 | 55 | | | Extension for reply within first month | |
| 1252 | 420 | 2252 | 210 | | | Extension for reply within second month | |
| 1253 | 950 | 2253 | 475 | | | Extension for reply within third month | |
| 1254 | 1480 | 2254 | 740 | | | Extension for reply within fourth month | |
| 1255 | 2010 | 2255 | 1005 | | | Extension for reply within fifth month | |
| 1401 | 330 | 2401 | 165 | | | Notice of Appeal | |
| 1402 | 330 | 2402 | 165 | | | Filing a brief in support of an appeal | |
| 1403 | 290 | 2403 | 145 | | | Request for oral hearing | |
| 1451 | 1510 | 1451 | 1510 | | | Petition to institute a public use proceeding | |
| 1452 | 110 | 2452 | 55 | | | Petition to revive – unavoidable | |
| 1453 | 1330 | 2453 | 665 | | | Petition to revive – unintentional | |
| 1501 | 1330 | 2501 | 665 | | | Utility issue fee (or reissue) | |
| 1502 | 480 | 2502 | 240 | | | Design issue fee | |
| 1503 | 640 | 2503 | 320 | | | Plant issue fee | |
| 1460 | 130 | 1460 | 130 | | | Petitions to the Commissioner | |
| 1807 | 50 | 1807 | 50 | | | Processing fee under 37 CFR 1.17(q) | |
| 1806 | 180 | 1806 | 180 | | | Submission of Information Disclosure Stmt | |
| 8021 | 40 | 8021 | 40 | | | Recording each patent assignment per property (times number of properties) | 40 |
| 1809 | 770 | 2809 | 385 | | | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| 1810 | 770 | 2810 | 385 | | | For each additional invention to be examined (37 CFR § 1.129(b)) | |
| 1801 | 770 | 2801 | 385 | | | Request for Continued Examination (RCE) | |
| 1802 | 900 | 1802 | 900 | | | Request for expedited examination of a design application | |
| Other fee (specify) _____ | | | | | | | |
| *Reduced by Basic Filing Fee Paid | | | | | | | |
| SUBTOTAL (3) | | | | | | | (\$ 40) |

| SUBMITTED BY | | Customer Number | |
|-------------------|-------------------------------------------------------------------------------------|-----------------------------------|-----------------------|
| Name (Print/Type) | David V. Carlson | Registration No. (Attorney/Agent) | 31,153 |
| Signature |  | Date | March 15, 2004 |
| 00500 | | | |

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.